

**Jerry McCaffery**  
**Publications**

“The Road to PPBE: the New Defense Budget System” with L. R. Jones, submitted to Public Administration Review.

Budget Decision Making for Defense with L. R. Jones. Greenwich, CN: Information Age Publishing. Forthcoming, 2004, about 390 pages.

“Patterns in California State Budgeting.” in State Budget Patterns, edited by Thomas Lauth and Ed Clynch. Forthcoming, 2004.

“Perspectives on Public Financial Management in the United States” with L. R. Jones in Public Financial Management edited by L. R. Jones and James Guthrie. Forthcoming, 2004.

Reform of Program Budgeting in the Department of Defense, with L. R. Jones. Technical Report, Graduate School of Business and Public Policy, Naval Postgraduate School. Monterey, CA. October, 2003. 63pp.

“Defense Supplementals and the Budget Process” with Paul Godek, Public Budgeting and Finance, Vol. 23, N. 2, Summer, 2003: 53-72.

"Budget Execution and Management Control" with L. Jones and F. Thompson in Encyclopedia of Public Budgeting, edited by Gerald Miller. New York: Marcel Dekker Inc., 2003, pp.80-87.

"Budget Reform" in Encyclopedia of Public Budgeting, edited by Gerald Miller. New York: Marcel Dekker Inc, 2003, pp. 88-93.

"Supplementals" in Encyclopedia of Public Budgeting, edited by Gerald Miller. New York: Marcel Dekker Inc, 2003, pp. 1165-1168.

“Issues in Budget Execution,” with J. Mutty in Case Studies in Public Budgeting and Financial Management edited by Aman Khan and B. Hildreth. New York: Marcel Dekker Inc., 2003, pp. 77-92.

“Confidence, Competence, and Clientele: Norm Maintenance in Budget Preparation.” Case Studies in Public Budgeting and Financial Management, edited by Aman Khan and W. Bartley Hildreth. New York: Marcel Dekker Inc., 2003. pp. 49-64. Revised and reprinted in second edition.

"Budget Reform" in Public Finance and Budgeting, Robert McNab, DRMI, Symposium Editor. With L. R. Jones. Fall 2002.

“Constitutional Government” with L. R. Jones, in “ Off the Shelf ” pp. 46-50 Armed Forces Comptroller, Vol. 42, No.3, Fall 2002, excerpt from Chapter II of Budgeting and Financial Management.

Budgeting and Financial Management in the Federal Government. With L. R. Jones. Greenwich, CN: Information Age Publishing. 2001. 472 pages.

“The Hidden Process of Budgeting: Budget Execution” with John Mutty in Public Budgeting, Accounting, and Financial Management, Summer, 1999.

“Features of the Budgetary Process” in The Handbook of Government Budgeting. Roy T. Meyers, Editor. San Francisco : Jossey-Bass Publishers, 1998, pp. 3-29.

“Financial Management Reform in the Federal Government” with L. R. Jones for The Handbook of Government Budgeting. Roy T. Meyers, Editor. San Francisco : Jossey-Bass Publishers, 1998, pp. 53-81.

“Budget Patterns.” International Encyclopedia of Public Policy and Administration. Jay Shafritz, Editor. Boulder, Co.: Westview Press, 1998, pp. 271-276.

“Operating Deficit.” International Encyclopedia of Public Policy and Administration. Jay Shafritz, Editor. Boulder, Co.: Westview Press, 1998, pp. 631-634.

“National Debt.” International Encyclopedia of Public Policy and Administration. Jay Shafritz, Editor. Boulder, Co.: Westview Press, 1998, pp. 651-654.

“Performance Budgeting” with Donald Wolfgang. International Encyclopedia of Public Policy and Administration. Jay Shafritz, Editor. Boulder, Co.: Westview Press, 1998, pp. 1623-1629.

“Revenue Budgeting. International Encyclopedia of Public Policy and Administration. Jay Shafritz, Editor. Boulder, Co.: Westview Press, 1998, pp. 1975-1976.

“Program Budgeting” International Encyclopedia of Public Policy and Administration. Jay Shafritz, Editor. Boulder, Co.: Westview Press, 1998, pp. 1768-1769.

“Chief Financial Officer.” International Encyclopedia of Public Policy and Administration. Jay Shafritz, Editor. Boulder, Co.: Westview Press, 1998, pp. 374-380.

"Budgeting," International Encyclopedia of Public Policy and Administration. Jay Shafritz, Editor. Boulder, Co.: Westview Press, 1998, pp. 294-301.

An Update on the CFO and GPRA and Other Acts which Impact Financial Management within DOD with L. R. Jones. Technical Report, Naval Postgraduate School. February, 1997.

Budget Execution Concerns within DOD with John Mutty. Technical Report, Naval Postgraduate School. January, 1997.

“Implementing the Chief Financial Officers Act and The Government Performance and Results Act in the Federal Government.” With L. R. Jones in Public Budgeting and Finance, 1997, 17 (1): 35-55.

“On Budget Reform” Policy Sciences. 29:235-246, 1996.

"Budgeting According to Wildavsky: A Bibliographic Essay," Budgeting, Policy, Politics, edited by Naomi Caiden and Joseph White. 1995. Transaction Books. with L. Jones, pp 13-40.

"DOD O&M Obligation Patterns: Some Reflections and Issues." Navy Controller, with M. Kozar. Fall, 1994.

"Confidence, Competence, and Clientele: Norm Maintenance in Budget Preparation" in Public Budgeting and Financial Management Casebook. Aman Khan and B. Hildreth, Editors. Boston: Kendall, Hunt and Co., 1994.

"Budget Analysis in the Department of Navy Budget Office." in Public Budgeting and Financial Management Casebook. Aman Khan and B. Hildreth, Editors. Boston: Kendall, Hunt and Co., 1994.

"GRH Arrives in California" Newsletter ASPA Section on Budgeting and Financial Management, Summer, 1994. pp. 1-3.

"Defense Budgeting and the Incrementalist Perspective of Aaron Wildavsky." Technical Report, Naval Postgraduate School, March, 1994. with L. Jones.

"Budgeting According to Aaron Wildavsky: A Bibliographic Essay" Public Budgeting and Finance. Spring, 1994. with L. Jones, pp. 16-43.

"The Budget Enforcement Act in 1992: Necessary But Not Sufficient" Public Budgeting and Finance, with R. Doyle, Summer, 1993. 13, No. 2. p. 20-37.

"Symposium on Federal Financial Management Reform. Introduction: Part II" Public Budgeting and Finance, with L. R. Jones. Spring 1993, Vol. 13, No. 1. p.59-60.

"Implementation of the CFO Act" Public Budgeting and Finance. with L.R. Jones. Spring 1993, Vol.13, No. 1. p. 68-76.

"Congress, Defense, and The Budget Enforcement Act in 1992" with R. Doyle, Technical Report, Naval Postgraduate School, July 1993.

"Symposium on Federal Financial Management Reform. Introduction: Part I." Public Budgeting and Finance. part I Winter, 1992. p.70, with L. R. Jones.

"Federal Financial Management Reform and the Chief Financial Officer's Act." Public Budgeting and Finance. Winter, 1992, with L. R. Jones. pp 75-86.

"Teaching Budgeting: An Agenda for the 1990's" in Handbook of Public Budgeting edited by Jack Rabin. New York: Marcel Dekker, 1992.pp 125-142.

R. Doyle and J. McCaffery. "The Budget Enforcement Act After One Year." Public Budgeting and Finance, Spring,1992.pp. 3-22

L. R. Jones and J. Mc Caffery. Financial Management Reform in the Federal Government. NPS Technical Report. June, 1992.

L. R. Jones, J. L. McCaffery and M. Gragen. Report on Quality Assurance in Department of Defense Financial Management Education and Training Institutions. NPS Technical Report. September,1992.

L. R. Jones, J. L. McCaffery, and R. Jackson. A Comparison of Graduate Financial Management Programs Offered in U. S. Institutions of Higher Learning. NPS Technical Report. August, 1992.

Doyle, R. and McCaffery, J. L. "The Budget Enforcement Act of 1990-The Path to No-Fault Budgeting" Public Budgeting and Finance, Spring, 1991.pp 25-41.

Jerry McCaffery. "California: Changing Demographics and Executive Dominance." in Governors, Legislatures, and Budgets. edited by Edward Clynch and Thomas P. Lauth. New York: Greenwood Press. 1991. p.7-16.

Jerry Mc Caffery. "The Craft of Budgeting." in Doing Public Administration.\_ Nicholas Henry, Editor. Brown and Co.: Dubuque, IA. 1991. Third Edition. p.112-136.

Baker, K. and Mc Caffery, J. L." Optimizing Choice in Resource Decisions: Staying Within the Boundary of the Comprehensive-Rational Method," Public Administration Quarterly, Summer, 1990.

"A Structural Comparison of Defense and Non-Defense Budget Processes." Technical Report, Naval Postgraduate School, 1990.

" Decision Rules in the Mid-Year Review Process at Cincpacflt." Technical Report, Naval Postgraduate School, 1990.

The Budget Enforcement Act, with Richard Doyle, Technical Report, Naval Postgraduate School, 1990.

Jones, L. R. and Mc Caffery, J. L. Restraint Management in Canada in the 1980's. Greenwood Press, 1989. 210pp.

" Strategies for Achieving Budgetary Goals" in Handbook of Public Administration, James Perry, Editor. Jossey-Bass: San Francisco-London, 1989. pp. 290-301.

" Making the Most of Strategic Planning and Management" in Managing Public Programs J. Cleary and N. Henry, Editors. Jossey Bass: San Francisco-London, 1989. pp 193-210.

Reflections on the Deficit" Newsletter, Section on Budgeting and Financial Management, American Society for Public Administration, Winter, 1989. pp 3-4.

Budgetmaster. Pacific Grove, Ca.:1987. 156 pp. software template included. Second Edition, 1988, 216 pp. Third Edition, 1995, 262 pp.

"Gramm-Rudman One Year Later" Newsletter Section on Budgeting and Financial Management, Winter, 1987. Reprinted in SPEA REVIEW, Spring,1987.

"History of the American Budgetary Power" in A Centennial History of the Administrative State edited by R. Chandler. Boston: John Wiley and Sons, 1987.

"An Interview with William Hamm" Newsletter, Section on Budgeting and Financial Management, September, 1986.pp.3-5.

"Budget Reform: The Path to Reform of Process" International Journal of Public Administration. Winter, 1985. pp. 78-85.

"Expertise, Momentum and Innovation: The Logic for a Productivity Bank" National Civic Review. vol. 77, No. 1 Jan. 1985,pp. 21-26

"Canada's Envelope Budget: A Strategic Management System" Public Administration Review vol. 44, No 4. July/August 1984, 316-323.

"Envelope Budgeting: An American Perspective" Public Budgeting and Finance vol. 4, No. 2, Summer 1984 pp. 122-124.

"The Envelope Budget System" American Review of Canadian Studies, Vol XIV, No. 1 Spring 1984. pp. 45-62.

"Analyzing the Pedagogic Deficit in Budgeting" Handbook for Public Budgeting and Financial Management, T. Lynch and J. Rabin, Eds., New York: Marcel Dekker and Co.: 1983. 193-207.

"Productivity Measurement Issues in Local Government" in Productivity Issues in Indiana Local Government. edited by Robert Agranoff. SPEA Occasional Paper, 1982.

"The Craft of Budgeting" and "Coping with Politics" in Doing Public Administration edited by Nicholas Henry. Boston, Ma.: Allyn and Bacon, 1982. Second Edition. First Edition, 1978, pp 140-164 and 166-176.

"The Return of Strategic Planning: Matrix Budgeting for Cutback Management in the Human Services" Working Paper in Public Finance and Budgeting, School of Public and Environmental Affairs, Indiana University, 1982-3.

"Public Access Television and Public Budgeting." National Civic Review. December, 1981. vol. 70, no. 11. pp. 583-589.

"The Transformation of Zero-Based Budgeting: Program Level Budgeting in Oregon." Public Budgeting and Finance. Vol 1, No. 4, 1981. pp 48-55.

"Simulation as a Technique for Teaching Budgeting." in Innovations in Teaching Public Affairs and Administration. edited by Ann Marie Rizzo and Richard Heimovics. Kansas City, Mo.: University of Missouri, 1981.

"Introduction to Urban Finance: Dollars, Decisions, and Dilemmas." Public Administration Review. Vol 41. 1981. Special Issue. pp. 105-106.

"Revenue Budgeting: Dade County tries a Decremental Approach" Public Administration Review. Special Issue. vol. 41, 1981. pp 179-189.

Urban Finance and Administration: A Guide to Information Sources. edited with John Mikesell. Detroit, MI.: Gale Research Co., 1980. 226pp.

"Perceptions of the Internship Experience" Public Administration Review. Vol 39, No. 3. May/June, 1979. 241-244.

"Introductory Comment on Symposium on Budgeting in an Era of Resource Scarcity." Public Administration Review. vol. 38 n. 6. Nov/Dec. 1978. p. 510.

"Participatory Democracy and Budgeting: The Effects of Proposition 13." Public Administration Review with John Bowman, Vol 38, no. 6, ( Nov./Dec.) 1978. 530-539. Republished in Government Budgeting: Theory, Process, Politics. Albert C. Hyde, Editor. Pacific Grove, Ca. : Brooks Cole Publishers, 1992. 240-250.

"Teaching Budgeting" in The Teaching of Public Administration and Public Affairs Kansas City, Mo.: University of Missouri, 1978. 159-169.

"Budgeting: Problems, Perspectives, and the Promise of Zero-Based Budgeting," in Administering Public Policy, edited by Charles Wise and George Frederickson. Lexington, Ma.: D. C. Heath and Co., 1977. 121-133.

"Discussion of Municipal Finances in Perspective: A Look at Interjurisdictional Spending and Revenue Patterns," Journal of Accounting Research. Vol 15. Supplement, 1977. 202-205.

"Comments on Rotating Zero-Based Budgeting" State and Local Government Review. Vol 9, no. 2, May 1977, with J. Bowman and J. Mikesell. 69-70.

"Budgeting" Policy Studies, Vol. 5, no.1, Autumn, 1976. pp 65-69

"Management by Objectives and the Federal Budgetary Process" Public Administration Review, Vol 36, no. 1, (Jan/Feb.) 1976. 33-39.

"Knowledge Management and Public Finance Administration," Public Administration Review, Vol 35, no. 6, (Nov/Dec) 1975. 598-602.

"Professionalizing Local Fiscal Leadership," Georgia Government Review, Vol 6, no. 3, Summer, 1974. 1-3.